

~~SECRET~~  
PATENT \$  
#3

Attorney Docket No.: A-68126-1/RFT/RMS/RMK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LUO, Peizhi

Serial No.: 09/502,984

Filed: February 11, 2000

For: Structure-based Screening Techniques for Drug Discovery



Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX MISSING PARTS**, Washington, DC 20231 on 10/26/00.

Signed: Gail Clark

Gail Clark

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
*Filing Date Granted*

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed May 9, 2000, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*, Form PTO-1533);
- 2) Executed Declaration;
- 3) Executed Verified Statement (Declaration) Claiming Small Entity Status;
- 4) Power of Attorney by Assignee;
- 5) Request for Corrected Official Filing Receipt with marked-up copy of Official Filing Receipt;

Serial No.: 09/502,984  
Filed: February 11, 2000

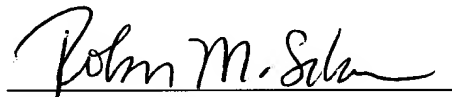
- 6) Petition for Extension of Time (4 Months);
- 7) Check in the amount of \$1,250.00 covering the filing fee and surcharge for filing the fee on a date later than the filing date (\$355.00/filing fee; \$65.00/surcharge), Multiple Dependent Claim Fee (\$135.00), and Petition for 4 Month Extension of Time (\$695.00); and
- 8) Return postcard.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extensions, or credit any overpayment to Deposit Account 03-1600 (File A-68126-1/RFT/RMS/RMK).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

By



Robin M. Silva  
Registration No. 38,304

Suite 3400  
Four Embarcadero Center  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989  
Date: 10/26/00  
1033795

#3

## FORMALITIES LETTER



\*OC000000005105046\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/502,984	02/11/2000	Peizhi Luo	A-68126-1/RFT/RMS/SJR

Flehr Hohbach Test  
Albright & Herbert LLP  
four Embarcadero Center Suite 3400  
San Francisco, CA 94111-4187



Date Mailed: 05/09/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  $\$355$   
Applicant must submit ~~\\$690~~ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.  $5 \times 9.00 = 45$   
■ ~~\\$90~~ for 5 total claims over 20.
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is \$ 910.**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/02/2000 ETULU1 00000035 09502984

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:204	135.00 OP



**PATENT APPLICATION**

Attorney Docket No.: A-68126-1/RFT/RMS/RMK

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Peizhi LUO, et al.

Serial No.: 09/502,984

Filed: February 11, 2000

For: STRUCTURE-BASED  
SCREENING TECHNIQUES FOR  
DRUG DISCOVERY

Examiner: UNKNOWN

Group Art Unit: 1631

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Secretary and Commissioner of Patents and Trademarks, Office of Initial Patent Examination's Customer Service Center, Washington, D.C. 20231 on 10/26/00

Signature: \_\_\_\_\_

Gail Clark

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Secretary and  
Commissioner of Patents and Trademarks  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

Sir:

Appended hereto is a copy of the Official Filing Receipt for the above-identified patent application with the errors indicated in red.

In the Applicant(s) portion of the receipt, after "Luo", please delete "Residence Not Provided;" and insert --Arcadia, CA;--,

In the Applicant(s) portion of the receipt, after "Dahiyat,", please delete "Residence Not Provided;," and insert --Los Angeles, CA;--.

The Applicant(s) portion of the receipt will then correctly read as follows:

Peizhi Luo, Arcadia, CA;  
Bassil I. Dahiyat, Los Angeles, CA;

In the Continuing Data as Claimed by Applicant portion of the receipt, before  
"60/120,009 02/11/1999", please delete "WHICH CLAIMS BENEFIT OF" and insert  
--AND--.

The Continuing Data as Claimed by Applicant portion of the receipt will then  
correctly read as follows:

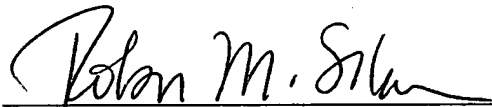
THIS APPLN CLAIMS BENEFIT OF 60/120,009 02/11/1999  
AND 60/131,674 04/29/1999

Because the error was caused by the Patent Office, it is believed that no fee is  
necessary. However, if this belief is in error, the Commissioner is authorized to charge any  
fee which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our  
Order No. A-68126-1/RFT/RMS/SJR).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Date: 10/26/00

  
Robin M. Silva  
Reg. No. 38,304

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Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989  
1021023 (SF)

## FILING RECEIPT



\*OC00000005105045\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/502,984	02/11/2000	1631	0	A-68126-1/RFT/RMS/SJR	11	25	3

Flehr Hohbach Test  
Albright & Herbert LLP  
four Embarcadero Center Suite 3400  
San Francisco, CA 94111-4187

Date Mailed: 05/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

*Arcadia, CA;*  
Peizhi Luo, ~~Residence Not Provided;~~  
Bassil Dahiyat, ~~Residence Not Provided;~~  
*Los Angeles, CA;*

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/120,009 02/11/1999  
~~WHICH CLAIMS BENEFIT OF 60/131,674 04/29/1999~~  
*And*

## Foreign Applications

If Required, Foreign Filing License Granted 05/09/2000

## Title

Structure-based screening techniques for drug discovery

## Preliminary Class

702

Data entry by : SCOTT, JOSEPH

Team : OIPE

Date: 05/09/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**



**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231